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10/12/01  
C921 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HRT-278

First Inventor Andrew H. Hancock

Title APPARATUS AND METHODS FOR OFF-PUMP CARDIAC SURGERY

Express Mail Label No. EL691443594US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17) *(submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.
- Specification [Total Pages 19] *(Preferred arrangement set forth below)*
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s)(35 USC 113) [Total Sheets 16]
- Oath or Declaration [Total Pages 2]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18.  If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation  Divisional  Continuation-in-Part (CIP) of prior application No.: 09/293,630, filed 04/15/1999.

Prior application information: Examiner P. Hirsch Group Art Unit: 3732

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label 000027777 or  Correspondence Address below

Name: Philip S. Johnson, Esq.  
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## 20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to E. Richard Skula at:

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## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	E. Richard Skula	Reg. No. 31061
SIGNATURE		
DATE	October 12, 2001	

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<b>FEE TRANSMITTAL</b>		<i>Complete if Known</i>	
		Application Number	
		Filing Date	October 12, 2001
		First Named Inventor	Hancock
		Group Art Unit	3732
		Examiner Name	P. Hirsch
		Attorney Docket Number	HRT-278

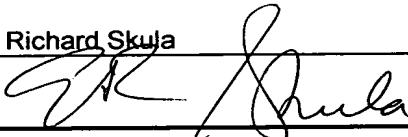
## FEE CALCULATION

### CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	9 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	4 - 3 =	1	x 80.00	\$ 80.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$270.00	
			TOTAL FEES	\$ 790.00

### METHOD OF PAYMENT

- Please charge Deposit Account No. 10-0750/HRT278/ERS in the amount of \$790.00.  
Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/HRT278/ERS. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>	
Typed or Printed Name	E. Richard Skula	Reg. No. 31,061	
Signature		Date: 10/12/01	Deposit Account No. 10-0750

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew H. Hancock

For : APPARATUS AND METHODS FOR OFF-PUMP CARDIAC SURGERY

Express Mail Certificate

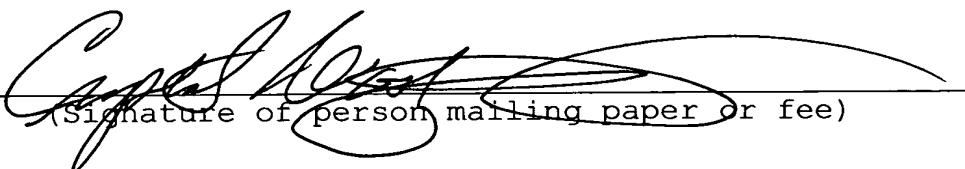
"Express Mail" mailing number: EL691443594 US

Date of Deposit: October 12, 2001

I hereby certify that this complete Divisional Application, including specification pages, claims, formal drawings, and a copy of the Declaration and Power of Attorney, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Crystal Washington

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)